

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **11-073**

First Inventor or Application Identifier

HORITitle **SPARK PLUG DESIGNED TO PROVIDE HIGH DURABILITY
AND PRODUCTIVITY**

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages **28**]

-Descriptive title of the Invention

-Cross Reference to Related Applications

-Background of the Invention

-Summary of the Invention

-Brief Description of the Drawings

-Detailed Description of the Preferred Embodiment

-Claims

-Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **14**]

4. Oath or Declaration [Total Sheets **3**]

- a. ☒ Newly executed (original or copy)

- b. ☐ Copy from a prior application (37 C.F.R. § 1.63 (d))
(for continuation/divisional with Box 16 completed)

- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

***NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)**

5. ☐ Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Copy

- b. ☐ Paper Copy (identical to computer copy)

- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☐ 37 C.F.R. § 3.73(b)
Statement (when there is an assignee) ☐ Power of Attorney

9. ☐ English Translation Document (if applicable)

10. ☒ Information Disclosure
Statement (IDS)/PTO-1449 ☒ Copies of IDS
Citations

11. Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

13. ☐ Small Entity
Statement(s) (PTO/SB-05-12) ☐ Statement filed in prior application,
Status still proper and desired

14. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. ☐ Other:

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Group/Art Unit: _____

For **CONTINUATION or DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label



(Insert Customer No. or Attach bar code label
here) **23400**

- or ☐ Correspondence address below

PATENT & TRADEMARK OFFICE

Name

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City

State

Zip Code

Country

Telephone

(202) 416-1638

Fax

(202) 416-1639

Name (Print/type)

DAVID G. POSZ

Registration No. (Attorney/Agent)

37,701

Signature

Date

11-20-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.**

11/20/01

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number
Filing Date **November 20, 2001**
First Named Inventor **HORI**
Examiner Name
Group/Art Unit
Attorney Docket No. **11-073**

TOTAL AMOUNT OF PAYMENT (\$ **780**)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-1147**

Deposit Account Name **LAW OFFICE OF DAVID G. POSZ**

☒ Change Any Additional Fee Required Under 37 CFR 1.15 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740 201 370		Utility filing fee	740
106 330 206 165		Design filing fee	
107 510 207 255		Plant filing fee	
108 740 208 370		Reissue filing fee	
114 160 214 80		Provisional filing fee	

SUBTOTAL (1) (\$ **740**)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from Below	Fee Paid
14	-20**=	0	18
Independent Claims	-3***=	0	84
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18 203 9		Claims in excess of 20
102 84 202 42		Independent claims in excess of 3
104 280 204 140		Multiple dependent claim, if not paid
109 84 209 42		**Reissue independent claims over original patent
110 18 210 9		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ **0**)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 400 216 200		Extension for reply within second month	
117 920 217 460		Extension for reply within third month	
118 1440 218 720		Extension for reply within fourth month	
128 1960 228 980		Extension for reply within fifth month	
119 320 219 160		Notice of Appeal	
120 320 220 160		Filing a brief in support of an appeal	
121 280 221 140		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,280 241 640		Petition to revive - unintentional	
142 1,280 242 640		Utility issue fee (or reissue)	
143 460 243 230		Design issue fee	
144 620 244 310		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 180 126 180		Submission of information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (lines number of properties)	40
146 740 246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740 249 370		For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ **40**)

SUBMITTED BY

Name (Print/Type) **DAVID G. POSZ**
Signature **DAVID G. POSZ**

Registration No. (Attorney/Agent) **37,701**

Complete (if applicable)

Telephone **(202) 416-1638**
Date **11-20-01**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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SPECIALIZING IN PATENTS, TRADEMARKS & COPYRIGHTS

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November 20, 2001

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Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231


Sir:

OIPE HAND DELIVERY FILING CERTIFICATE

Applicant: HORI
For: SPARK PLUG DESIGNED TO PROVIDE HIGH DURABILITY AND
PRODUCTIVITY
Docket: 11-073
Attorney: David G. Posz
Date of Deposit: November 20, 2001

I hereby certify that this certificate and the following documents are being hand delivered to, and deposited with, the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on the above-indicated date, and are addressed to the Commissioner of Patents and Trademarks/Assistant Commissioner for Patents, Washington, D.C., 20231:

- return receipt postcard;
- transmittal form (2 copies);
- fee calculation form (2 copies);
- 28 page specification (14 numbered claims);
- 14 sheets of informal drawings;
- executed declaration/power of attorney
- executed assignment and recordation cover sheet
- IDS with PTO-1449 form and 5 references;
- 2 certified copy(ies) of priority document(s) (JP 2000-358187, JP 2001-270823); and
- check for \$780.


David G. Posz
Attorney For Applicant
Reg. No. 37,701